

# Making Referrals

What you need to know





Thank you for choosing us for the needs of your patients who are Medicare beneficiaries. Since Medicare requires that the prescribing practitioner's records contain documentation of medical necessity, we would like to provide you with some important information.

## Bilevel/RAD

### Chronic respiratory failure consequent to COPD:

#### *Hospital setting*

##### *Bilevel with or without a backup rate*

- Diagnosis of acute on chronic respiratory failure due to COPD
- Physician's note indicates need for bilevel device and without it there will be rapid clinical deterioration
- Patient requires a RAD within the 24-hour period prior to hospital discharge
- The treating clinician determines that the patient is at risk of rapid symptom exacerbation or rise in PaCO<sub>2</sub> after discharge

#### *Non-hospital setting*

##### *Bilevel without a backup rate*

- Initial evaluation of patient within 12 months prior to starting Bilevel/RAD therapy PaCO<sub>2</sub> ≥ 52 mm Hg by ABG while awake and breathing prescribed FiO<sub>2</sub>.
- Sleep apnea must not be the predominant cause of the elevated CO<sub>2</sub>

##### *Bilevel with a backup rate*

- PaCO<sub>2</sub> ≥ 52 mm Hg by ABG while awake and breathing prescribed FiO<sub>2</sub>

- Sleep apnea must not be the predominant cause of the elevated CO<sub>2</sub>
- One of the following characteristics should also be noted in the patient's record
  - Stable COPD should also be noted in the patient's record
  - Hypercapnia present for at least two weeks post-hospitalization after resolution of an exacerbation of COPD requiring acute non-invasive ventilation using a RAD device

### **Restrictive thoracic and neuromuscular disorders:**

#### *Bilevel with or without a backup rate*

- Initial evaluation of patient within 12 months prior to starting Bilevel/RAD therapy PaCO<sub>2</sub> ≥ 52 mm Hg by ABG while awake and breathing
- A. There is documentation in the beneficiary's medical record of a neuromuscular disease or a severe thoracic cage abnormality
- B. One of the following:
1. An arterial blood gas PaCO<sub>2</sub>, done while awake and breathing the beneficiary's prescribed FiO<sub>2</sub> is greater than or equal to 45 mm Hg
  2. Sleep oximetry demonstrates oxygen saturation less than or equal to 88% for greater than or equal to five minutes of nocturnal recording time done while breathing the beneficiary's prescribed recommended FiO<sub>2</sub>. Minimum recording time of two hours

3. For a neuromuscular disease (only), either:
  - Maximal inspiratory pressure is less than 60 cm H<sub>2</sub>O, or
  - Forced vital capacity is less than 50% predicted
- C. Chronic obstructive pulmonary disease does not contribute significantly to the beneficiary's pulmonary limitation

### **Central sleep apnea or complex sleep apnea:**

*Bilevel with or without a backup rate*

A complete facility-based, attended PSG is performed documenting the following:

- A. The diagnosis of central sleep apnea or complex sleep apnea
- B. Significant improvement of the sleep-associated hypoventilation with the use of an E0470 or E0471 device on the settings that will be prescribed for initial use at home, while breathing the beneficiary's prescribed liter flow

### **Hypoventilation syndrome:**

*Bilevel without a backup rate*

Criteria A is met in addition to either criteria B or C:

- A. An initial arterial blood gas PaCO<sub>2</sub>, done while awake and breathing the beneficiary's prescribed liter flow, is greater than or equal to 45 mm Hg and spirometry shows an FEV<sub>1</sub>/FVC greater than or equal to 70%

### **EITHER**

- B. An arterial blood gas PaCO<sub>2</sub>, done during sleep or

immediately upon awakening, and breathing the beneficiary's prescribed liter flow, shows the beneficiary's PaCO<sub>2</sub> worsened greater than or equal to 7 mm Hg compared to the original result

- C. While using E0470, a facility-based PSG demonstrates oxygen saturation less than or equal to 88% for greater than or equal to a cumulative five minutes of nocturnal recording time. Minimum recording time of two hours. Additionally, it must be determined that obstructive upper airway events are not the predominant cause of issue

#### *Bilevel with a backup rate*

Criteria A is met, and either criterion B or C are met:

- A. A covered E0470 device is being used. And spirometry shows an FEV<sub>1</sub>/FVC greater than or equal to 70%

#### **EITHER**

- B. An arterial blood gas PaCO<sub>2</sub>, done during sleep or immediately upon awakening, and breathing the beneficiary's prescribed liter flow, shows the beneficiary's PaCO<sub>2</sub> worsened greater than or equal to 7 mm Hg compared to the original result
- C. While using E0470, a facility-based PSG demonstrates oxygen saturation less than or equal to 88% for greater than or equal to a cumulative five minutes of nocturnal recording time. Minimum recording time of two hours. Additionally, it must be determined that obstructive upper airway events are not the predominant cause of issue

## Enteral nutrition

### Please include chart notes with the following information:

The patient has a permanent (at least three months) impairment due to:

- Non-function or disease of the structures that normally permit food to reach the small bowel; or
- A disease of the small bowel which impairs digestion and absorption of an oral diet
- The patient requires tube feedings to maintain weight and strength commensurate with the patient's overall health status. Adequate nutrition is **not** possible through dietary adjustment and/or oral supplements
- The nutrition is being provided via a tube in the stomach or small intestine (the beneficiary is not drinking the nutrient)
- Practitioner's signature on the medical records must meet CMS signature requirements

### Claims for enteral nutrition pumps:

Medical record must contain documentation to justify the need for a pump:

- Gravity feeding is not satisfactory if due to reflux/aspiration; or severe diarrhea; or
- Dumping syndrome; or
- Administration rate less than 100 mL/hour; or
- Blood glucose fluctuations; or
- Circulatory overload; or
- Gastrostomy/jejunostomy tube used for feeding



## High-Frequency Chest Wall Oscillation (HFCWO)

### Bronchiectasis:

- Completed and signed Standard Written Order
- CT scan (within the last ten years) confirming bronchiectasis

### AND

- Daily productive cough for at least six continuous months

### OR

- Three or more exacerbations requiring antibiotic therapy

### AND

- Documentation in chart notes of other standard treatment(s) which attempted to mobilize secretions, clearly indicating that these devices/technique failed

### Other qualifying diagnosis for respiratory, cystic fibrosis, and neuromuscular conditions:

- Completed and signed Standard Written Order
- Qualifying diagnosis
- Chart notes to support diagnosis within six months prior to Standard Written Order\*

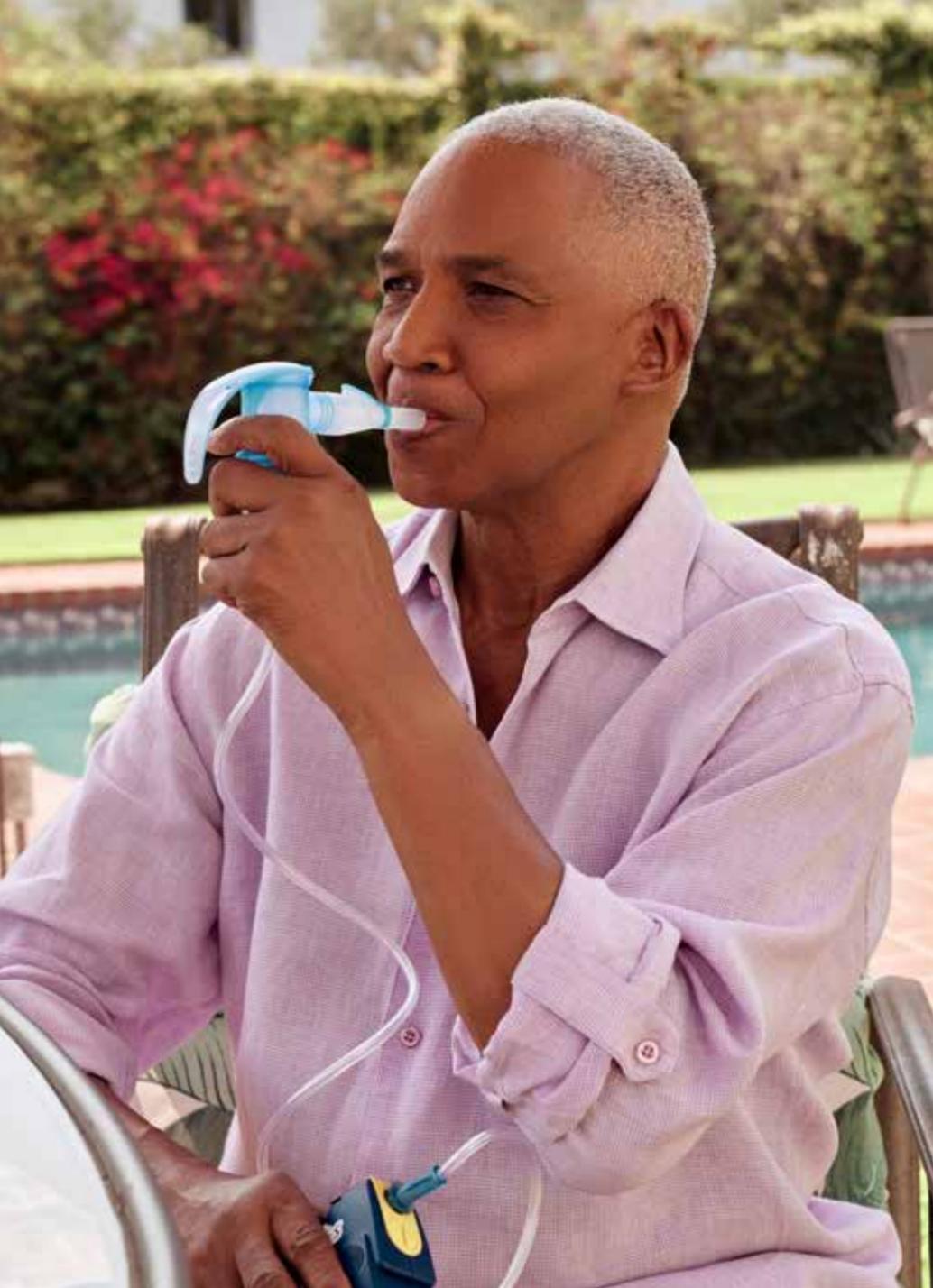
\*Time frame can vary by payor.



## Nebulizers and nebulized respiratory medications

### **Please include chart notes with the following information:**

- Documentation of medical need for nebulizer and equipment within three months
- Documentation of medical need for nebulized medications within 12 months
- Pulmonary diagnosis
- Prescribed respiratory medication listed in progress note
- Practitioner’s signature on the medical records must meet CMS signature requirements



# Oxygen

## Initial chart notes should include the following:

- Prescription details (liter flow, method of administration, etc.)
- Patient status at the time of need for oxygen therapy (inpatient vs. outpatient)
- If inpatient, admission date and discharge date
- Date the provider is evaluating the test results. If portability, document that the patient is mobile in the home
- Reason patient is expected to improve with oxygen therapy

## If groups I or II:

- Test date (for inpatient, must be performed within two days of discharge. For outpatient, because of the undefined restriction in the LCD, no more than three months; ask for a free text explanation after 30 days)
- Test type (Pulse Oximetry or ABG)
- Test condition

### Standard, non-OSA related:

- Rest, continuous oxygen
- Exercise, continuous and/or portable oxygen
- Overnight, nocturnal oxygen

### OSA-related

- Overnight, titration on optimal RAD pressure settings
- Test results
  - Qualifying test result if <89% or >59 mm Hg

**Group II criteria include all of the following:**

- A. An arterial PO<sub>2</sub> of 56-59 mm Hg or an arterial blood oxygen saturation of 89%; and,
- B. Any of the following:
  1. Dependent edema suggesting congestive heart failure; or,
  2. Pulmonary hypertension or cor pulmonale, determined by measurement of pulmonary artery pressure, gated blood pool scan, echocardiogram, or “P” pulmonale on EKG (P wave greater than 3 mm in standard leads II, III, or AVF); or,
  3. Erythrocythemia with a hematocrit greater than 56%

**Group III criteria include the following:**

- Diagnosis of cluster headaches
- Non-qualifying testing: Arterial PO<sub>2</sub> greater than 59 mm Hg or an oxygen saturation greater than 89%
- Re-evaluation for continued need

## Positive Airway Pressure (PAP) devices

### **Please include chart notes with the following information:**

- Initial clinical evaluation and documentation of signs and symptoms of OSA OR the need for a sleep study. Must be noted prior to the sleep study but no more than 12 months prior
- Planned course of treatment
- Other therapeutic interventions
- Results of qualifying PSG or report
- Practitioner's signature on the medical records must meet CMS signature requirements

### **After three months of therapy:**

- In-person follow-up evaluation
- Diagnosis of OSA
- Compliant use of PAP
- Patient is benefiting from therapy



# PT/INR home testing

## The order should include:

- Physician name
- Physician NPI
- Patient name
- Diagnosis
- Documented anticoagulant use for three months or greater
- Physician signature
- Signature date

|  <b>PATIENT ENROLLMENT FORM</b><br>FOR PT/INR AT HOME MONITORING SERVICE<br><small>mdINR - 45 Turner Dr., Bldg. A, Middletown, NY 10940</small>  |  | <br><small>LNC</small>     |
|---|--|---|
| <b>Physician Information</b><br>Date: _____ Practice Name: _____<br>Prescriber NPI: _____ Prescribing Physician (Last, First, MI): _____<br>Practice Mailing Address: _____<br>Practice Phone: _____ Practice Fax: _____<br>Practice Contact: _____ Practice Email: _____   |  |   |
| <b>Patient Information</b> Patient Gender: <input type="checkbox"/> Female <input type="checkbox"/> Male Email: _____<br>Patient name (Last, First, MI): _____ DOB: _____<br>Patient mailing address: _____<br>Patient home phone: _____ Patient Cell Phone: _____<br>Any known allergies? <input type="checkbox"/> Yes <input type="checkbox"/> No If YES please explain: _____<br>Is patient being treated for active infection? <input type="checkbox"/> Yes <input type="checkbox"/> No If YES please explain: _____  |  |   |
| <b>This section must be completed by prescribing practitioner's office</b>  |  |   |
| <b>Patient Diagnosis</b><br><input type="checkbox"/> Long Term (current) use of Anticoagulants 279.01<br><input type="checkbox"/> Permanent Atrial Fibrillation 48.21<br><input type="checkbox"/> Paroxysmal Atrial Fibrillation 48.0<br><input type="checkbox"/> Other Persistent Atrial Fibrillation 48.19<br><input type="checkbox"/> Other Primary Thrombophilia D68.59<br><input type="checkbox"/> Personal History of other venous thrombosis and embolism 286.718<br><input type="checkbox"/> Chronic Pulmonary Embolism 07.82<br><input type="checkbox"/> Presence of Prosthetic Heart Valve 295.2<br><input type="checkbox"/> Other (MUST write in a valid ICD10 code) _____ | <b>Fax Option</b><br><input type="checkbox"/> Fax Every Result<br><input type="checkbox"/> Fax Out of Range Results<br><input type="checkbox"/> Fax Out of Range + Monthly Summary<br><b>Notification of Panic Values</b><br><input type="checkbox"/> Fax and phone call, VoiceMail Allowed<br><input type="checkbox"/> Fax and Live call, No voiceMail<br><b>Medication and Training Information</b><br>Patient has been on Warfarin/Coumadin $\geq$ 90 days: <input type="checkbox"/> Yes <input type="checkbox"/> No<br>Start date patient began Warfarin/Coumadin: _____<br>Patient Training: <input type="checkbox"/> mdINR <input type="checkbox"/> Physician<br>Chart Notes Attached <input type="checkbox"/> Yes <input type="checkbox"/> No |   |
| <b>Target Range Values:</b> Range: _____ To _____<br><b>Note:</b> If Target Range is not listed, default is: 2.0 to 3.0   | <b>Panic Values:</b> Below _____ or Above: _____<br><b>Note:</b> If Panic Value is not listed, default is: $\leq$ 1.4 OR $\geq$ 6.0  |   |
| <b>Statement of Medical Necessity/Prescription</b><br><small>Patient's condition requires long-term Warfarin therapy to reduce the risk of thromboembolism. I am ordering PT/INR self-testing service to enable this patient to test more frequently in order to help maintain a stable INR. The patient or patient's caregiver is capable of performing these tests, understanding implications of the test results, and contacting PRS services as directed. I believe that patient self-testing is reasonable and necessary for this patient. If you require additional information, please contact me.</small>  |  |   |
| Physician and patient acknowledge that this service is for weekly self-testing and reporting of test results.<br><small>Chart notes to support INR testing must be available upon request.</small><br>Physician's Signature: _____ Date: _____<br>Print Physician Name: _____   |  | <br><small>JMIKE</small> |
| <b>Physician Line: 1-888-763-1541</b>   |  | <b>Enrollment Fax: 1-866-308-9243</b>   |

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We look forward to taking care of your warfarin patient.



## Ventilators

### **Restrictive thoracic and neuromuscular disorders:**

- Initial evaluation of within 12 months prior to starting ventilator therapy
- Patient requires a ventilator, mechanical ventilator, or non-invasive mechanical ventilator due to the severity of covered diagnosis
- Ventilator is required to decrease work of breathing, improve pulmonary status and prevent the interruption of respiratory support, which could lead to serious harm, i.e., decline in health status, worsening condition, increase risk of CO<sub>2</sub> retention, and untimely readmissions
- If CPAP/RAD tried indicate why it is no longer effective

### **Chronic respiratory failure consequent to COPD:**

#### *Hospital discharge*

- Diagnosis of acute on chronic respiratory failure due to COPD
- Patient requires a ventilator, mechanical ventilator, or non-invasive ventilator due to the severity of the covered diagnosis
- Patient's need exceeds the capabilities of a bilevel and requires use of a ventilator within 24-hour period prior to hospital discharge



- The patient's chart notes establish the patient is at risk for rapid symptom exacerbations or rise in PaCO<sub>2</sub> after discharge

### *Non-hospital discharge*

- Diagnosis of chronic respiratory failure due to COPD
- Initial evaluation of patient within 12 months prior to starting ventilator therapy
- Patient requires a ventilator, mechanical ventilator, or non-invasive ventilator due to the severity of the covered diagnosis
- ABG PaCO<sub>2</sub> ≥ 52 mm Hg during awake hours while breathing his/her prescribed FiO<sub>2</sub>
- Chart note supports sleep apnea is not the predominant cause of the hypercapnia (Formal sleep testing is not required if, per the treating clinician, the patient does not experience sleep apnea as the predominant cause of the hypercapnia.)



**Disclaimer:** Information about the devices are being provided for your convenience only. Complete information about any device depicted should be obtained from the manufacturer. Please note that the devices depicted are examples of what may be available. The actual device provided may be different. Device availability and inventory levels are subject to change without notice. Devices may require a valid prescription.